

05-16-01

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))**Attorney Docket No.** 02950.P012D

(maximum 12 characters)

**First Named Inventor** Joseph F. KHOURI**Title:** A METHOD AND APPARATUS FOR PROCESSING A TELEPHONE CALL**Express Mail Label No.** EL617180475US**ADDRESS TO:** **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.   X   **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.        **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.   X   **Specification (Total Pages   25  )**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.   X   **Drawings(s) (35 USC 113) (Total Sheets   5  )**
5.   X   **Oath or Declaration (Total Pages   4  )**
  - a.        Newly Executed (Original or Copy)
  - b.   X   Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.        DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
  - c.        Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.        Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on:
    - i.        CD-ROM or CD-R (2 copies), or
    - ii.        paper
  - c.        Statement verifying identity of above copies

Table 1. Demographic characteristics of the study population	
Characteristic	Frequency (%)
Age (years)	
< 18	10 (10.0)
18-24	20 (20.0)
25-34	30 (30.0)
35-44	20 (20.0)
45-54	10 (10.0)
55-64	10 (10.0)
65-74	10 (10.0)
75-84	10 (10.0)
85-94	10 (10.0)
≥ 95	10 (10.0)
Gender	
Male	50 (50.0)
Female	50 (50.0)
Ethnicity	
White	30 (30.0)
Black	20 (20.0)
Hispanic	10 (10.0)
Asian	10 (10.0)
Other	20 (20.0)
Marital status	
Married	30 (30.0)
Single	20 (20.0)
Divorced	10 (10.0)
Widowed	10 (10.0)
Never married	20 (20.0)
Education level	
High school or less	20 (20.0)
Some college	10 (10.0)
College graduate	10 (10.0)
Postgraduate	10 (10.0)
Other	20 (20.0)
Income level	
< \$10,000	10 (10.0)
\$10,000-\$19,999	10 (10.0)
\$20,000-\$29,999	10 (10.0)
\$30,000-\$39,999	10 (10.0)
\$40,000-\$49,999	10 (10.0)
\$50,000-\$59,999	10 (10.0)
\$60,000-\$69,999	10 (10.0)
\$70,000-\$79,999	10 (10.0)
\$80,000-\$89,999	10 (10.0)
\$90,000-\$99,999	10 (10.0)
≥ \$100,000	10 (10.0)

- 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

**Applicant(s):** Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

- 2 -

**PRELIMINARY AMENDMENT FOR CONTINUING APPLICATION  
(CONTINUATION, DIVISIONAL, OR CONTINUATION-IN-PART)**

First Named Inventor Joseph F. Khouri

Title A METHOD AND APPARATUS FOR PROCESSING A TELEPHONE CALL

Attorney Docket No. 02950P012D

**Parent Application:**

First Named Inventor Joseph F. KHOURI

Title METHOD AND APPARATUS FOR ESTABLISHING CONNECTIONS

Application No. 08/920,669

Examiner: Ho, C.

Filing Date August 29, 1997

Group Art Unit: 2153

Applicant(s): If the present patent application is a Continuation, Divisional, or Continuation-In-Part application under 37 CFR § 53(b) of a parent application, then submit this Preliminary Amendment to the PTO. Please insert the appropriate claim for priority, listing the entire chain of priority.

**To: Assistant Commissioner for Patents**

**Washington, D.C. 20231**

Sir:

Please enter the following preliminary amendment for the present patent application.

**IN THE SPECIFICATION:**

At page 1, line 4, please insert the following:

-- The present patent application is a

     Continuation   X   Divisional      Continuation-in-part (CIP)  
of prior application no. 08/920,669, filed August 29, 1997, entitled A Method and Apparatus  
for Establishing Connections,

which is a      continuation/      divisional/      CIP of prior application no.                     ,

which is a      continuation/      divisional/      CIP of prior application no.                     . --.

05/14/01  
Date

  
Signature

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(408) 947-8200

André L. Marais  
Typed or Printed Name

P48,095  
Registration No.



### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i)	
091	1,240	091	1,240	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 0**

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: André L. Marais

Signature:  Date: 05/14/01

Reg. Number: P48,095 Telephone Number: (408) 947-8200

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

"Express Mail" mailing label number: EL6171810475US

Date of Deposit: May 14, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Hindy Vayrell  
(Typed or printed name of person mailing paper or fee)

Hindy Vayrell  
(Signature of person mailing paper or fee)

5-14-01  
(Date signed)

Serial/Patent No.: \*\*\* Filing/Issue Date: \*\*\*  
Client: Aspect Communications  
Title: A METHOD AND APPARATUS FOR PROCESSING A TELEPHONE CALL

BSTZ File No.: 02950P012D

Date Mailed: 5-14-01

Atty/Secty Initials: ALM/VXM/lr

Docket Due Date: \*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.)  | <input checked="" type="checkbox"/> Express Mail No: <u>EL617180475US</u>                        | <input checked="" type="checkbox"/> Check No. <u>42671</u> |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                              | <input checked="" type="checkbox"/> _____ Month(s) Extension of Time                             | Amt: <u>710.00</u>   |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)            | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO 1449 ( <u>3</u> pgs.) | <input type="checkbox"/> Check No. _____                   |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal   | Amt. _____   |
| <input checked="" type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>25</u> pgs.)    | <input type="checkbox"/> Notice of Appeal  |  |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                            | <input type="checkbox"/> Petition for Extension of Time  |  |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                | <input type="checkbox"/> Petition for _____  |  |
| <input type="checkbox"/> Application - Design (____ pgs.)                                      | <input checked="" type="checkbox"/> Postcard   |  |
| <input type="checkbox"/> Application - PCT (____ pgs.)   | <input type="checkbox"/> Power of Attorney (____ pgs.)   |  |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                 | <input checked="" type="checkbox"/> Preliminary Amendment ( <u>8</u> pgs.)                       |  |
| <input type="checkbox"/> Assignment and Cover Sheet  | <input type="checkbox"/> Reply Brief (____ pgs.)   |  |
| <input checked="" type="checkbox"/> Certificate of Mailing                                     | <input type="checkbox"/> Response to Notice of Missing Parts                                     |  |
| <input checked="" type="checkbox"/> Declaration & POA ( <u>5</u> pgs.) (copy)                  | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business             |  |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventions Signed Letter (____ pgs.) | <input checked="" type="checkbox"/> Transmittal Letter, in duplicate                             |  |
| <input checked="" type="checkbox"/> Drawings: <u>5</u> # of sheets includes <u>5</u> figures   | <input checked="" type="checkbox"/> Fee Transmittal, in duplicate                                |  |

☒ Other: Copy of cited art (11 ref.) and Certificate of Express Mail with copy of postcard showing contents of package.